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# FAMILY WEALTH INVENTORY & ASSESSMENT

(PLEASE COMPLETE IN BLUE INK)

*We must have this Inventory and Assessment returned to us at least one day prior to your Family Wealth Planning Consultation so we have enough time to understand the specifics of your Family Wealth before our meeting.*

Please fax the completed form to **800.725.9734**

DON'T WORRY ABOUT TOTAL ACCURACY – JUST DO THE BEST YOU CAN

WE LOOK FORWARD TO SEEING YOU!!!

**ALL INFORMATION PROVIDED IS STRICTLY CONFIDENTIAL.**

## PERSONAL INFORMATION

Client's Signature Name \_\_\_\_\_  
(name most often used to title property and accounts)

Also Known As \_\_\_\_\_  
(other names used to title property and accounts)

Prefer to be called \_\_\_\_\_ Birth date \_\_\_\_\_ SS# \_\_\_\_\_ US Citizen? \_\_\_\_\_

Home Address \_\_\_\_\_ City \_\_\_\_\_ State \_\_\_\_\_ Zip \_\_\_\_\_

Home Telephone \_\_\_\_\_ Cell Phone Number \_\_\_\_\_ Business Telephone \_\_\_\_\_

Occupation \_\_\_\_\_ Employer \_\_\_\_\_

Business Address \_\_\_\_\_ City \_\_\_\_\_ State \_\_\_\_\_ Zip \_\_\_\_\_

E-mail Address \_\_\_\_\_  It is okay to communicate with me via E-mail.

### CHILDREN AND/OR OTHER FAMILY MEMBERS WHO DEPEND ON YOU

*(Use full legal name. For stepparents, note "H" if only husband is the biological parent, note "W" if only wife is the biological parent. Attach a sheet if necessary)*

| Name  | Birth date | Parent or Relationship |
|-------|------------|------------------------|
| _____ | _____      | _____                  |
| _____ | _____      | _____                  |
| _____ | _____      | _____                  |

### FAMILY WEALTH ADVISORS

| Name                       | Telephone |
|----------------------------|-----------|
| Accountant _____           | _____     |
| Financial Advisor _____    | _____     |
| Life Insurance Agent _____ | _____     |

## YOUR PLANNING OBJECTIVES

Please identify the reasons you are considering planning or areas you would like to learn more about (select as many as you wish):

- Minimizing or eliminating estate taxes upon your death (up to 40% of your assets and life insurance benefits)
- Reducing estate administration costs through probate avoidance
- Ensuring that a special needs beneficiary has assets that are protected from government seizure while retaining eligibility for needed services
- Avoiding conservatorship proceedings (aka “living probate”) if you or your partner become incapacitated
- Avoiding probate delays and stress upon your death or the death of your partner
- Protection from hospital policies requiring life sustaining procedures when you would rather not endure them
- Protection from having healthcare decisions made by people other than those you trust most

Protect Your Children or other Beneficiaries ....

- From predators who can discover inheritance amounts and target young or vulnerable beneficiaries
- From claims of divorced spouses to take half of your child or beneficiary’s inheritance
- From malpractice claims, for beneficiaries in the professions
- From other creditors’ claims (such as car accident plaintiffs)
- From the stress and delays of the average 16-month process of probate

## IMPORTANT FAMILY QUESTIONS

Do you have a will, trust, or other estate planning document? *Please furnish copies of these documents*  Yes  No

Are you making payments pursuant to a divorce or property settlement order? *Please furnish a copy*  Yes  No

Do you or any of your children or other beneficiaries have disabilities, serious health problems or other special needs? *If yes, please describe below*  Yes  No

Do you own a business?  Yes  No

Do you own a long-term care (nursing home) insurance policy?  Yes  No

Have you ever filed federal or state gift  Yes  No

tax returns? *Please furnish copies of these returns.*

Do you support any charitable organizations now that you wish to make provisions for at the time of your death? *If so, please explain below.*

Yes  No

Are you currently the beneficiary of anyone else's trust? *If so, please explain below.*

Yes  No

### INCOME/ASSET/LIABILITY INFORMATION

Please list your income/asset/liability information in the appropriate section below. Attach additional pages, if necessary.

#### ASSETS:

#### REAL PROPERTY

Please list any interest in real estate including your family residence, vacation home, time share or vacant land. (please list manner in which title held – Joint Tenant, Community Property, Separate Property, Tenant in Common)

| General Description and/or Address | Owner        | Market Value | Equity |
|------------------------------------|--------------|--------------|--------|
| _____                              | _____        | _____        | _____  |
| _____                              | _____        | _____        | _____  |
| _____                              | _____        | _____        | _____  |
|                                    | <i>Total</i> | _____        | _____  |

#### PERSONAL PROPERTY

**TYPE:** List separately only major personal effects such as, jewelry, collections, antiques, furs, and all other valuable non-business personal property (*indicate type below and give a lump sum value for miscellaneous, less valuable items.*)

| Type or Description                                   | Owner        | Market Value |
|---|--------------|--------------|
| Miscellaneous Furniture and Household Effects (Total) | _____        | _____        |
| _____   | _____        | _____        |
| _____   | _____        | _____        |
|   | <i>Total</i> | _____        |

#### BANK & SAVINGS ACCOUNTS

IF YOU PREFER, YOU CAN WAIT UNTIL AFTER OUR MEETING TO SUPPLY ACCOUNT NUMBERS

**TYPE:** Checking Account "CA", Savings Account "SA", Certificates of Deposit "CD", Money Market "MM" (*indicate type below*). Do not include IRA's or 401(k)'s here

| Name of Institution and account number | Type  | Owner | Amount |
|--|-------|-------|--------|
| _____                                  | _____ | _____ | _____  |
| _____                                  | _____ | _____ | _____  |

|       |       |              |       |
|-------|-------|--------------|-------|
| _____ | _____ | _____        | _____ |
| _____ | _____ | _____        | _____ |
|       |       | <i>Total</i> | _____ |

Note: If Account is in your name for the benefit of a minor, please specify and give minor's name.

## STOCKS AND BONDS

IF YOU PREFER, YOU CAN WAIT UNTIL AFTER OUR MEETING TO SUPPLY ACCOUNT NUMBERS

**TYPE:** List any and all stocks and bonds you own. If held in a brokerage account, lump them together under each account.  
*(indicate type below)*

| Stocks, Bonds or Investment Accounts | Type  | Acct. Number | Owner        | Amount |
|--------------------------------------|-------|--------------|--------------|--------|
| _____                                | _____ | _____        | _____        | _____  |
| _____                                | _____ | _____        | _____        | _____  |
| _____                                | _____ | _____        | _____        | _____  |
| _____                                | _____ | _____        | _____        | _____  |
|                                      |       |              | <i>Total</i> | _____  |

## LIFE INSURANCE POLICES AND ANNUITIES

**TYPE:** Term, whole life, split dollar, group life, annuity. **ADDITIONAL INFORMATION:** Insurance company, type, face amount (death benefit), whose life is insured, who owns the policy, the current beneficiaries, who pays the premium, and who is the life insurance agent.

|       |       |              |       |
|-------|-------|--------------|-------|
| _____ | _____ | _____        | _____ |
| _____ | _____ | _____        | _____ |
| _____ | _____ | _____        | _____ |
|       |       | <i>Total</i> | _____ |

## RETIREMENT PLANS

**TYPE:** Pension (P), Profit Sharing (PS), H.R. 10, IRA, SEP, 401(K). **ADDITIONAL INFORMATION:** Describe the type of plan, the plan name, the current value of the plan, and any other pertinent information.

|       |              |       |
|-------|--------------|-------|
| _____ | _____        | _____ |
| _____ | _____        | _____ |
| _____ | _____        | _____ |
|       | <i>Total</i> | _____ |

## BUSINESS INTERESTS

**TYPE:** General and Limited Partnerships, Sole Proprietorships, privately owned corporations, professional corporations, oil interests, farm and ranch interests. **ADDITIONAL INFORMATION:** Give a description of the interests, who has the interest, your ownership in the interests, and the estimated value of the interests.

|       |              |       |
|-------|--------------|-------|
| _____ | _____        | _____ |
| _____ | _____        | _____ |
| _____ | _____        | _____ |
|       | <i>Total</i> | _____ |

## MONEY OWED TO YOU

**TYPE:** Mortgages or promissory notes payable **to you**, or other moneys owed to you.

| Name of Debtor | Date of Note | Maturity Date | Owed to | Current Balance |
|----------------|--------------|---------------|---------|-----------------|
| _____          | _____        | _____         | _____   | _____           |
| _____          | _____        | _____         | _____   | _____           |
| _____          | _____        | _____         | _____   | _____           |
| <i>Total</i>   |              |               |         | _____           |

## ANTICIPATED INHERITANCE, GIFT, OR LAWSUIT JUDGMENT

**TYPE:** Gifts or inheritances that you expect to receive at some time in the future; or moneys that you anticipate receiving through a judgment in a lawsuit. **Describe in appropriate detail.**

Description \_\_\_\_\_  
\_\_\_\_\_  
*Total estimated value* \_\_\_\_\_

## OTHER ASSETS

**TYPE:** Other property is any property that you have that does not fit into any listed category.

| Type         | Owner | Value |
|--------------|-------|-------|
| _____        | _____ | _____ |
| _____        | _____ | _____ |
| _____        | _____ | _____ |
| <i>Total</i> |       | _____ |

## INTELLECTUAL ASSETS

### EDUCATION

\_\_\_\_\_ High School  
\_\_\_\_\_ College  
\_\_\_\_\_ Graduate Degree \_\_\_\_\_  
\_\_\_\_\_ On the Job MBA (biz owner)

## INCOME

Earned Monthly Income from Labor: \_\_\_\_\_

Monthly Social Security Income: \_\_\_\_\_

Monthly Pension Income: \_\_\_\_\_

Other Monthly Income: \_\_\_\_\_

**ADDITIONAL INFORMATION FROM ABOVE OR ANYTHING ELSE YOU WANT TO TELL ME.**

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## DESIGN INFORMATION

### PERSONS TO ACT FOR YOU – IF YOU ARE UNABLE

#### PERSONAL REPRESENTATIVE

Name the person you would like to name as the Executor of your Will. Please provide two Alternates.

Name, Address and Phone Number

Relationship

\_\_ Executor: \_\_\_\_\_; and then

\_\_ Alternate 1: \_\_\_\_\_; and then

\_\_ Alternate 2: \_\_\_\_\_; and then

Wells Fargo N.A.\*\*

#### LONG-TERM GUARDIAN FOR MINOR CHILDREN:

If you have any children under the age of 18, list in order of preference who would raise them and love them in the manner as close as possible to the way you would for the long-term.

Name, Address and Phone Number

Relationship

\_\_ Guardian(s): \_\_\_\_\_; and then

\_\_ Alternate 1: \_\_\_\_\_; and then

\_\_ Alternate 2: \_\_\_\_\_

## FINANCIAL DECISION MAKERS

#### FINANCIAL DURABLE POWER OF ATTORNEY

If you were unable to make decisions for yourself, who would you want to make decisions for you with regard to your property ?

#### AGENT

Name, Address, and Phone Number

Relationship

\_\_ Agent: \_\_\_\_\_; and then

\_\_ Alternate 1: \_\_\_\_\_; and then

\_\_ Alternate 2: \_\_\_\_\_; and then

Wells Fargo N.A.\*\*





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## (Revocable Living Trust Planning Only)

### Trustee Information

**Initial Trustee(s):** Typically the Grantor (you if it is an individual trust, or you and your spouse, if married). If you would prefer someone else, please specify the name(s), address(es), phone number(s) and relationship that the initial trustee(s) has with you:

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### SUCCESSOR TRUSTEES (please specify name, address, phone number & relationship)

Upon Incapacity: \_\_\_\_\_; and then  
\_\_\_\_\_; and then  
\_\_\_\_\_; and then  
Wells Fargo N.A.\*\*  
(serving consecutively)

Upon Death: \_\_\_\_\_; and then  
\_\_\_\_\_; and then  
\_\_\_\_\_; and then  
Wells Fargo N.A.\*\*  
(serving consecutively)

\*\* I typically use a bank such as Wells Fargo N.A. as the last successor trustee or personal representative, to ensure we don't run out of trustees/personal representatives. If you do not want a bank as the last trustee/personal representative, or want a different bank to be the last successor trustee/personal representative, please specify your preferences above.

### Deeds

Please fax me copies of your deeds for the properties that you own in California.

### ANY OTHER INFORMATION THAT YOU WOULD LIKE TO PROVIDE

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